



Risk Warning Statement

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General

This notice cannot disclose all the risks and other significant aspects of transacting in financial instruments and the capital markets at large. You should not deal in financial instruments unless you understand their nature and the extent of your exposure to risk. You should also be satisfied that the specific financial instrument(s) that you select is/are suitable for you after taking into consideration your circumstances and financial position.

Trading in financial instruments always involves risks including the risk of losing some, or all, of your investment amount, and may not be suitable for all investors. Before deciding to trade in financial instruments you should be fully informed of the risks and costs associated with trading the financial markets, carefully consider your investment objectives, level of experience, and risk appetite, and seek professional advice where needed.

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Derivatives trading, including but not limited to Options and Warrants, is highly speculative and is not suitable for all investors due to the risks involved. Buyers and sellers of options should familiarise themselves with the type of option (i.e. put or call, bought or sold) they intend to trade and the associated risks. If your total exposure on margin trades exceeds your collateral, you risk losing more than your allocated capital. Final Settlement of Stock Options requires physical delivery of the underlying stocks vs. payment of the strike value in cash as is the case for Index Options.

Recommendations Are Not Guaranteed

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